

# STANDARD DISCLOSURES

# **Elios Financial Services Private Limited**

SEBI Registration Number: INH000023153

## **Disclosures**

#### **Business Activity:**

Elios Financial Services Pvt Ltd (EFSPL) is a SEBI-registered stockbroker operating in India, providing comprehensive brokerage services across multiple segments to institutional investors, particularly Foreign Portfolio Investors (FPIs). We are proud members of both the National Stock Exchange (NSE) and the Bombay Stock Exchange (BSE), ensuring that we meet the highest standards of regulatory compliance and operational excellence.

EFSPL is a SEBI Registered Research Analyst having registration no. INH000023153.

## **Disciplinary History:**

There has been no instance of any Disciplinary action, penalty etc. levied/passed by any regulation/administrative agencies against EFSPL and its Directors. Pursuant to SEBI inspection of books and records of EFSPL, as a Stockbroker, SEBI has not issued any administrative warning to EFSPL.

### Terms & Conditions of issuance of Research Report:

The Research report is issued to the registered clients. The Research Report is based on the facts, figures and information that are considered true, correct and reliable. The information is obtained from publicly available media or other sources believed to be reliable. The report is prepared solely for informational purpose and does not constitute an offer document or solicitation to buy or sell or subscribe for securities or other financial instruments for clients.

### Disclosures with regard to ownership and material conflicts of interest:

EFSPL or its research analysts, or his/her relative or associate has any direct or indirect



financial interest in the subject company.: NO

EFSPL or its research analysts, or his/her relative or associate has any other material conflict of interest at time of publication of the research report. : **NO** 

EFSPL or its research analysts, or his/her relative or associates have actual ownership of one % or more securities of the subject company. : **NO** 

### Disclosures with regard to receipt of compensation:

EFSPL or its associates have received any compensation from the subject company in the past twelve months. : **NO** 

EFSPL or its associates have managed or co-managed public offering of securities for the subject in the past twelve months. : **NO** 

EFSPL or its associates have received any compensation or other benefits from the subject company or third party in connection with the research report .: **NO** 

#### **Other Disclosures:**

The research analyst has served as an officer, director, employee of the subject company.: NO

EFSPL or its research analyst has been engaged in market making activity for the subject company. :  ${\bf NO}$ 

EFSPL or its or associates have received any compensation from the subject company in the past twelve months. : **NO** 

## **Disclaimers**

This Research Report (hereinafter called report) has been prepared and presented by Elios Financial Services Pvt Ltd (EFSPL), which does not constitute any offer or advice to sell or does solicitation to buy any securities. The information presented in this report, are for the intended recipients only. Further, the intended recipients are advised to exercise restraint in placing any dependence on this report, as the sender, EFSPL, neither guarantees the accuracy of any information contained herein nor assumes any responsibility in relation to losses arising from the errors of fact, opinion or the dependence placed on the same.

Despite the information in this document has been previewed on the basis of publicly available information, internal data, personal views of the research analyst(s) and other reliable sources, believed to be true, we do not represent it as accurate, complete or exhaustive. It should not be relied on as such, as this document is for general guidance only. Besides this, the research analyst(s) are bound by stringent internal regulations and legal and statutory requirements of the Securities and Exchange Board of India (SEBI) and the analysts' compensation was, is, or will be not directly or indirectly related with the other companies and/or entities of EFSPL and have no bearing whatsoever on any recommendation, that they have given in the research report.

Past performance is not indicative of future returns. Before investing, consider your investment objectives, risk tolerance, goals, time horizon, risk-reward appetite, and the associated costs. The performance and returns of any basket or financial instrument cannot be predicted or guaranteed.

We hereby declare that the information herein may change any time due to the volatile market conditions, therefore, it is advised to use own discretion and judgment while entering into any transactions, whatsoever.

Individuals employed as research analyst by EFSPL or their associates are not allowed to deal or trade in securities, within thirty days before and five days after the publication of a research report as prescribed under SEBI Research Analyst Regulations.

Neither Elios Financial Services Private Limited nor its Analysts have utilized any AI tools in the preparation of the research reports.

For any grievances, Step 1: the client should first contact the RA using the details on its website or following contact details: (RA to provide details as per 'Grievance Redressal / Escalation Matrix') Step 2: If the resolution is unsatisfactory, the client can also lodge grievances through SEBI's SCORES platform at <a href="www.scores.sebi.gov.in">www.scores.sebi.gov.in</a> Step 3: The client may also consider the Online Dispute Resolution (ODR) through the Smart ODR portal at <a href="https://smartodr.in">https://smartodr.in</a>



Clients are required to keep contact details, including email id and mobile number/s updated with the RA at all times.

The RA shall never ask for the client's login credentials and OTPs for the client's Trading Account Demat Account and Bank Account. Never share such information with anyone including RA

The particulars given in this Disclosure Document have been prepared in accordance with SEBI (Research Analyst) Regulations, 2014.

The purpose of the Document is to provide essential information about the Research and recommendation Services in a manner to assist and enable the perspective client/client in making an informed decision for engaging in Research and recommendation services before investing.

**Elios Financial Services Pvt Ltd:** 

Stock Broking - SEBI Reg. No.: NSE, BSE: INZ000306737

SEBI Research Analyst Reg. No.: INH000023153

Registered Office: S6-3 6th floor, Pinnacle Business Park, Mahakali Caves Road, Andheri

(East). Mumbai - 400 093

Corporate Office: S6-3 6th floor, Pinnacle Business Park, Mahakali Caves Road, Andheri

(East). Mumbai - 400 093

**Telephone:** +91 22 6940 1100 **Email:** info@eliosfin.com CIN: U65999MH2021PTC366417

**Details of Compliance Officer:** Vrinda Ganve Email Id: compliance@eliosfin.com, Contact Number: +91 022-6940 1102

**For grievance redressal contact** Customer Care Team Email: <a href="mailto:helpdesk@eliosfin.com">helpdesk@eliosfin.com</a> Phone: +91 022-6940 1100

### **Standard Warning**

"Investment in securities market are subject to market risks. Read all the related documents carefully before investing."

#### Disclaimer

"Registration granted by SEBI, and certification from NISM in no way guarantee performance of the Research Analyst or provide any assurance of returns to investors"

# **Most Important Terms and Conditions (MITC)**

- 1. These terms and conditions, and consent thereon are for the research services provided by the Research Analyst (RA) and RA cannot execute/carry out any trade (purchase/sell transaction) on behalf of, the client. Thus, the clients are advised not to permit RA to execute any trade on their behalf.
- 2. The fee charged by RA to the client will be subject to the maximum of amount prescribed by SEBI/ Research Analyst Administration and Supervisory Body (RAASB) from time to time (applicable only for Individual and HUF Clients).

  Note:
  - 2.1. The current fee limit is Rs 1,51,000/- per annum per family of client for all research services of the RA.
  - 2.2. The fee limit does not include statutory charges.
  - 2.3. The fee limits do not apply to a non-individual client / accredited investor.
- 3. RA may charge fees in advance if agreed by the client. Such advance shall not exceed the period stipulated by SEBI; presently it is one quarter. In case of pre-mature termination of the RA services by either the client or the RA, the client shall be entitled to seek refund of proportionate fees only for unexpired period.
- 4. Fees to RA may be paid by the client through any of the specified modes like cheque, online bank transfer, UPI, etc. Cash payment is not allowed. Optionally the client can make payments through Centralized Fee Collection Mechanism (CeFCoM) managed by BSE Limited (i.e. currently recognized RAASB).
- 5. The RA is required to abide by the applicable regulations/ circulars/ directions specified by SEBI and RAASB from time to time in relation to disclosure and mitigation of any actual or potential conflict of interest. The RA will endeavor to promptly inform the client of any conflict of interest that may affect the services being rendered to the client.
- 6. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. No scheme of this nature shall be offered to the client by the RA.
- 7. The RA cannot guarantee returns, profits, accuracy, or risk-free investments from the use of the RA's research services. All opinions, projections, estimates of the RA are based on the analysis of available data under certain assumptions as of the date of preparation/publication of research report.

- 8. Any investment made based on recommendations in research reports are subject to market risks, and recommendations do not provide any assurance of returns. There is no recourse to claim any losses incurred on the investments made based on the recommendations in the research report. Any reliance placed on the research report provided by the RA shall be as per the client's own judgement and assessment of the conclusions contained in the research report.
- 9. The SEBI registration, Enlistment with RAASB, and NISM certification do not guarantee the performance of the RA or assure any returns to the client.
- 10. For any grievances,
  - Step 1: the client should first contact the RA using the details on its website or following contact details: (RA to provide details as per 'Grievance Redressal / Escalation Matrix')
  - Step 2: If the resolution is unsatisfactory, the client can also lodge grievances through SEBI's SCORES platform at <a href="www.scores.sebi.gov.in">www.scores.sebi.gov.in</a>
  - Step 3: The client may also consider the Online Dispute Resolution (ODR) through the Smart ODR portal at <a href="https://smartodr.in">https://smartodr.in</a>
- 11. Clients are required to keep contact details, including email id and mobile number/s updated with the RA at all times.
- 12. The RA shall never ask for the client's login credentials and OTPs for the client's Trading Account Demat Account and Bank Account. Never share such information with anyone including RA.